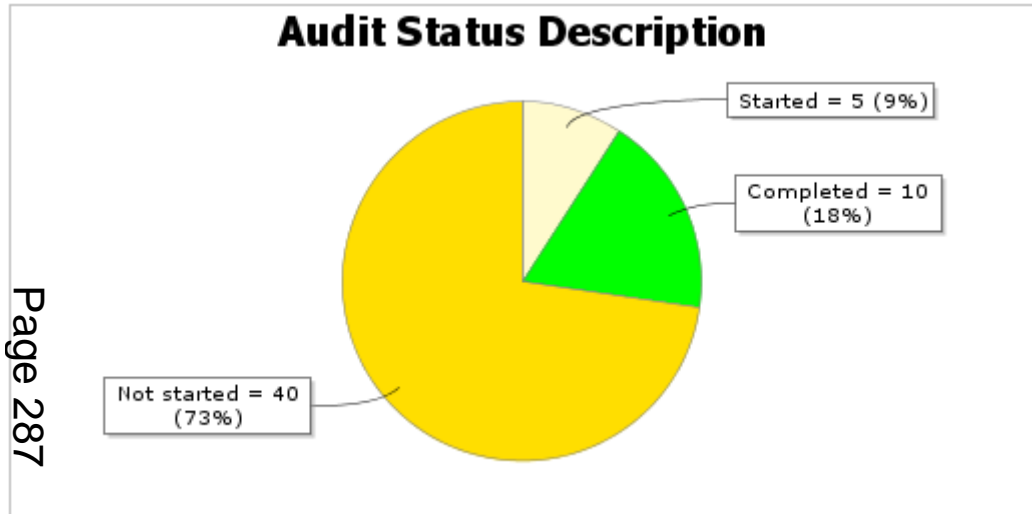


Internal Audit Performance Report 2019/20 Quarter 1

Report Type: Audit File Report















Report Author: Kerry Beavis

Generated on: 02 July 2019



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Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
EFin Implementation Review	Directorate – Finance	✓	Completed	Implementation Review
Street Scene Implementation Review	Directorate – Ops & Leisure	✓	Completed	Implementation Review
Pentana Application Implementation Review	Directorate – People	✓	Completed	Implementation Review
Tourism & Town Centre	Directorate – Growth & Regeneration	●	Not started	Implementation Review

Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Housing Regeneration & Affordable Housing Implementation Review	Directorate – Partnerships		Completed	Implementation Review
TIC Implementation Review	Directorate – People		Completed	Implementation Review
Community Leisure	Directorate – Ops & Leisure		Not started	Risk based review
DWP MoU	Directorate – Finance		Not started	System based review
Committee Decisions & Reporting Implementation Review	Legal & Democratic Services		Not started	Implementation Review
CCTV Further Implementation Review	Directorate – Neighbourhoods		Completed	Additional Implementation Review
Taxi Licences Implementation Review	Directorate – Growth & Regeneration		Not started	Implementation Review
PR & Comms Implementation Review	Directorate – People		Not started	Implementation Review
Social Media Implementation Review	Directorate – People		Not started	Implementation Review
IT Governance Implementation Review	Directorate – People		Not started	Implementation Review
Training & Development Implementation Review	Directorate – People		Not started	Implementation Review
Housing Services Further Implementation Review File	Directorate – Neighbourhoods		Not started	Additional Implementation Review
Network Controls Further Implementation Review	Directorate – People		Completed	Additional Implementation Review
Websites Further Implementation Review	Directorate – People		Completed	Additional Implementation Review

Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Equalities Further Implementation Review	Directorate – People		Not started	Additional Implementation Review
Sheltered Housing Implementation Review	Directorate – Neighbourhoods		Not started	Implementation Review
Licences Further Implementation Review	Directorate – Growth & Regeneration		Completed	Additional Implementation Review
Food Safety Implementation Review	Directorate – Growth & Regeneration		Not started	Implementation Review
Parks & Open Spaces Implementation Review	Directorate – Ops & Leisure		Not started	Implementation Review
Academy Implementation Review	Directorate – People		Not started	Implementation Review
Council Tax	Directorate – Finance		Not started	Main financial system – full
DR	Directorate – Finance		Not started	Main financial system – full
Payroll	Directorate – People		Not started	Main financial system – full
Bank Reconciliation & Cash Collection	Directorate – Finance		Not started	Main financial system – full
Housing Rents	Directorate – Neighbourhoods		Not started	Main financial system – full
Housing Repairs QTR 1	Directorate – Assets		Started	Main financial system – interim
Housing Repairs QTR 2	Directorate – Assets		Not started	Main financial system – interim
Housing Repairs QTR 3	Directorate – Assets		Not started	Main financial system – interim
Housing Repairs QTR 4	Directorate – Assets		Not started	Main financial system – interim
Property Contracts QTR 1	Directorate – Assets		Started	Main financial system – interim
Property Contracts QTR 2	Directorate – Assets		Not started	Main financial system – interim
Property Contracts QTR 3	Directorate – Assets		Not started	Main financial system – interim
Property Contracts QTR 4	Directorate – Assets		Not started	Main financial system – interim

Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Organisation Transformation	Directorate – People		Not started	System based review
Community Safety	Directorate – Partnerships		Not started	Risk based review
Outdoor Events	Directorate – Ops & Leisure		Not started	Risk based review
Corporate Business Continuity	Directorate – Assets		Completed	System based review
Income Management	Directorate – Finance		Not started	System based review
Project Management	Corporate		Not started	Risk based review
Customer Services	Directorate – People		Not started	Risk based review
Corporate Policy Management	Corporate		Not started	System based review
ITrent Application Review	Directorate – People		Not started	Information Technology
Power Resilience	Directorate – People		Started	Information Technology
Assure Application Review	Directorate – People		Not started	Information Technology
Commission Contributions	Directorate – People		Started	Compliance
SPG Assurance	Directorate – Assets		Started	Compliance
Municipal Charities	Corporate		Not started	Transactional
TIC Further Implementation Review	Directorate – People		Not started	Additional Implementation Review
Pentana Further Implementation Review	Directorate – People		Not started	Additional Implementation Review
Housing Regeneration & Affordable Housing Further Implementation Review	Directorate – Partnerships		Not started	Additional Implementation Review
Street Scene Further Implementation Review	Directorate – Ops & Leisure		Not started	Additional Implementation Review